

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on 17 March 2000

PATENT

Attorney Docket No. 18623-0146-00US



By TOWNSEND and TOWNSEND and CREW LLP
Malinda Bogert

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN FIKES *et al.*

Application No.: 09/458,298

Filed: December 10, 1999

For: INDUCING CELLULAR IMMUNE
RESPONSES TO MAGE2/3 USING
PEPTIDE AND NUCLEIC ACID
COMPOSITIONS

Examiner:

Art Unit: 1641

REQUEST FOR
CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Customer Service Center,
Office of Initial Patent Examination

Sir:

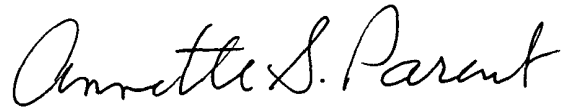
Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested. There are errors in that the sixth inventor is missing and one word in the title is missing. The Filing Receipt should be corrected to read as follows:

APPLICANT(S) - JOHN FIKES, San Diego, CA; ALESSANDRO SETTE, La Jolla, CA;
JOHN SIDNEY, San Diego, CA; SCOTT SOUTHWOOD, Santee, CA;
ROBERT CHESNUT, Cardiff-by-the-Sea, CA; **ESTEBAN CELIS,**
Rochester, MN; ELISSA KEOGH, San Diego, CA.

TITLE - INDUCING CELLULAR IMMUNE RESPONSES TO MAGE2/3 USING PEPTIDE
AND NUCLEIC ACID COMPOSITIONS

These corrections are not due to any error by applicant and no fee is due.
However, please charge any additional fees or credit overpayment to the Deposit Account No.
20-1430.

Respectfully submitted,



Annette S. Parent
Reg. No. 42,058

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200
Fax (415) 576-0300
JML/mcd:
rev. 3/00
SF 150886 v1
SF 1078216 v1

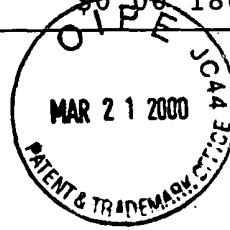
FILING RECEIPT



015423 - 014600 Ls
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
nm Lockyer

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/458,298	12/10/99	1641	\$0.00	18623-014600	0	40	11

JEAN M LOCKYER
TOWNSEND & TOWNSEND & CREW LLP
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO CA 94111-3834



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JOHN FIKES, SAN DIEGO, CA; ALESANDRO SETTE, LA JOLLA, CA; JOHN SIDNEY, SAN DIEGO, CA; SCOTT SOUTHWOOD, SANTEE, CA; ROBERT CHESNUT, CARDIFF-BY-THE SEA, CA; JELISSA KEOGH, SAN DIEGO, CA.

ESTEBAN CELIS,
ROCHESTER, MN;

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/02/00

TITLE

INDUCING CELLULAR IMMUNE RESPONSES TO MAGE2/3 USING PEPTIDE AND NUCLEIC ACID COMPOSITIONS

PRELIMINARY CLASS: 424

TOWNSEND & TOWNSEND
& CREW
00 FEB 11 AM 7:52
RECEIVED

DATA ENTRY BY: BATIE, MARGARET

TEAM: 01 DATE: 02/02/00

(See reverse for new important information)

Seal

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Washington, D.C. 20231, on 17 March 2000

PATENT

Attorney Docket No. 18623-0146-00US



By TOWNSEND and TOWNSEND and CREW LLP
Melinda A. Baggett

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN FIKES *et al.*

Application No.: 09/458,298

Filed: December 10, 1999

For: INDUCING CELLULAR IMMUNE
RESPONSES TO MAGE2/3 USING
PEPTIDE AND NUCLEIC ACID
COMPOSITIONS

Examiner:

Art Unit: 1641

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date Granted dated February 2, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney in two counterparts
- 2) Request for Corrected Filing Receipt
- 3) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large entity: (a) Filing Fee (§ 1.16(a)) (Large Entity) \$ 690.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

39 - 20 = 19 x \$18 = \$ 342.00

11 - 3 = 8 x \$78 = \$ 624.00

(c) Missing Parts Surcharge \$ 130.00

TOTAL FEES TO BE CHARGED

\$ 1,786.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Annette S. Parent

Reg. No. 42,058

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

(415) 576-0200

Fax (415) 576-0300

JML/mcd:

rev. 3/00

SF 150886 v1

SF 1078160 v1



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/058,298	12/10/99	FIRES	J 18623-014600

0212/0202

JEAN M LOCKYER
TOWNSEND & TOWNSEND & CREW LLP
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO CA 94111-3834

1641

DATE MAILED: 02/02/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1874.00

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:
\$ 360.00 for 20 total claims over 20.
\$ 624.00 for 8 independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09458298
03/24/2000 CVOBAC:HA 00000048 201430
01 FC:101 760.00 CH
02 FC:103 342.00 CH
03 FC:102 624.00 CH
04 FC:105 130.00 CH